

02/11/02

2-13-02

A

PATENT APPLICATION TRANSMITTAL LETTER

(Small Entity)

Docket No.

7385-83653

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

Steven Nelson et al.

For: OPTICAL ATTENUATING UNDERCHIP ENCAPSULANT

Enclosed are:

- ☒ Certificate of Mailing with Express Mail Mailing Label No. EL854694364US
- ☒ 4 sheets of drawings.
- ☐ A certified copy of a application.
- ☒ Declaration ☒ Signed. ☐ Unsigned.
- ☒ Power of Attorney
- ☐ Information Disclosure Statement
- ☐ Preliminary Amendment
- ☐ Verified Statement(s) to Establish Small Entity Status Under 37 C.F.R. 1.9 and 1.27.
- ☒ Other: Specification, Claims and Abstract (13 pages)

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	27	- 20 =	7	x \$9.00	\$63.00
Indep. Claims	4	- 3 =	1	x \$42.00	\$42.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$370.00
TOTAL FILING FEE					\$475.00

- ☒ A check in the amount of \$475.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 23-0920 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: February 11, 2002

Signature

cc:

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete If Known

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

\$515.00

Application Number

Filing Date

First Named Inventor

Steve Nelson

Examiner Name

Group Art Unit

Attorney Docket No.

7385-83653

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number

23-0920

Deposit
Account
Name

Welsh & Katz, Ltd.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$)

Fee Paid

101 740 201 370 Utility filing fee

370.00

106 330 206 165 Design filing fee

107 510 207 255 Plant filing fee

108 740 208 370 Reissue filing fee

114 160 214 80 Provisional filing fee

SUBTOTAL (1)

\$370.00

2. EXTRA CLAIM FEES FOR UTILITY AND

Extra Claims Fee from below Fee Paid
Total Claims 27 -20** = 7 X 9.00 = 63.00
Independent Claims 4 -3** = 1 X 42.00 = 42.00
Multiple Dependent =

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$)

103 18 203 9 Claims in excess of 20

102 84 202 42 Independent claims in excess of 3

104 280 204 140 Multiple dependent claim, if not paid

109 84 209 42 ** Reissue independent claims over original patent

110 18 210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

\$105.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES
Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) Code (\$) Code (\$)

Fee Description

Fee Paid

105 130 205 65

Surcharge - late filing fee or oath

127 50 227 25

Surcharge - late provisional filing fee or cover sheet

139 130 139 130

Non - English specification

147 2,520 147 2,520

For filing a request for ex parte reexamination

112 920* 112 920*

Requesting publication of SIR prior to Examiner action

113 1,840* 113 1,840*

Requesting publication of SIR after Examiner action

115 110 215 55

Extension for reply within first month

116 400 216 200

Extension for reply within second month

117 920 217 460

Extension for reply within third month

118 1,440 218 720

Extension for reply within fourth month

128 1,960 228 980

Extension for reply within fifth month

118 320 219 160

Notice of Appeal

120 320 220 160

Filing a brief in support of an appeal

121 280 221 140

Request for oral hearing

138 1,510 138 1,510

Petition to institute a public use proceeding

140 110 240 55

Petition to revive - unavoidable

141 1,280 241 640

Petition to revive - unintentional

142 1,280 242 640

Utility issue fee (or reissue)

143 460 243 230

Design issue fee

144 620 244 310

Plant issue fee

122 130 122 130

Petitions to the Commissioner

123 50 123 50

Processing fee under 37 CFR § 1.17(q)

126 180 126 180

Submission of Information Disclosure Statement

581 40 581 40

Recording each patent assignment per property (times number of properties)

146 740 246 370

Filing a submission after final rejection (37 CFR § 1.129(a))

149 740 249 370

For each additional invention to be examined (37 CFR § 1.129(b))

179 740 279 370

Request for Continued Examination (RCE)

169 900 169 900

Request for expedited examination of a design application

Other fee (specify)

SUBTOTAL (3)

\$40.00

SUBMITTED BY

Name (Print/Type)

Jon P. Christensen

Registration No.
(Attorney/Agent)

34,137

Complete (if applicable)

Telephone

312-655-1500

Signature

Date

February 11, 2002

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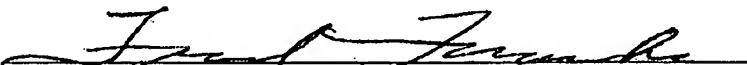
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I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail" Post Office to Addressee. Service under 37 CFR 1.10 is on the date indicated above and addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231. The person mailing this paper is:

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Typed or Printed Name of Person Mailing Paper or Fee

A handwritten signature in cursive script, appearing to read "Fred Franks", written over a horizontal line.

Signature of Person Mailing Paper or Fee

10073462, 021102